

R E M A R K S

Claims 1 through 16 and 23 through 28 remain in the application. No claim amendments are made in this paper. Claims 1 and 23 are the independent claims herein. Reconsideration and further examination are respectfully requested, in view of the following arguments.

Claim Rejections – 35 USC § 103

Claims 1-3, 6-11, 15 and 23-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Messina et al. U.S. Patent No. 5,239,200 in view of Vogel et al. U.S. Patent No. 6,317,326.

Applicant respectfully traverses this rejection for reasons stated below.

Claim 1 is directed to an “apparatus” which includes “an integrated circuit (IC) die having a front surface on which an integrated circuit is formed and a rear surface that is opposite to the front surface”. The apparatus of claim 1 further includes “a member to define at least one microchannel at the rear surface of the IC die, the microchannel to allow a coolant to flow therethrough”. In addition, the apparatus of claim 1 includes “at least one thin film thermoelectric cooling (TFTEC) device in the at least one microchannel”.

In discussing the Messina reference the Examiner correctly conceded that Messina does not disclose a TFTEC device in a microchannel. To attempt to make up for this deficiency in Messina, the Examiner purported to rely on the Vogel reference. Applicant respectfully submits that the Examiner was completely incorrect in doing so.

Applicant is thoroughly perplexed by the Examiner’s reference to element 114 in Vogel as being a thin film thermoelectric cooling device. In fact, Vogel merely states that element 114 is “adhesive”. In this regard, the Examiner is respectfully directed to column 1, line 60 in Vogel.

Moreover, Vogel’s disclosure seems to be entirely unrelated to thermoelectric cooling. Applicant’s representative has carefully reviewed Vogel’s disclosure and finds no mention therein of thermoelectric cooling or of any thermoelectric cooling device, whether or not formed as a thin film. In short, the Vogel reference does not in any respect support the Examiner’s

reliance thereupon. For this reason, it is respectfully submitted that the rejections based on Vogel, which are all of the rejections now pending, should be reconsidered and withdrawn.¹

The above remarks are equally pertinent to claim 23 and to all the other pending claims, since claims 1 and 23 are the only independent claims. Nevertheless, applicant also wishes to present additional remarks in support of the patentability of dependent claims 12 and 14.

Claims 12 and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Messina et al. in view of Vogel et al. and further in view of Prasher et al. U.S. Patent No. 6,934,154 and Venkatasubramanian U.S. Patent No. 6,722,140.

* * * * *

STATEMENT OF COMMON OWNERSHIP

The Prasher reference is available as prior art, if at all, only pursuant to 35 USC § 102(e). However, at the time of the invention, both the Prasher reference and the subject matter of the present application were both owned by Intel Corporation. Therefore, pursuant to 35 USC § 103(c), the Prasher reference is disqualified from being used in an obviousness rejection.

* * * * *

It follows that even if the Vogel reference supported the Examiner's reliance thereupon, which it clearly does not, it still would be necessary to withdraw the pending rejection based on the Prasher reference.²

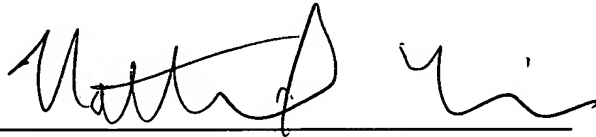
¹ Also, though the Examiner is of course under no obligation to do so, the Examiner is respectfully urged to consider the related PCT Written Opinion, issued by the European Patent Office on October 10, 2005, and appended hereto as Appendix A. Applicant notes that the Written Opinion finds the claimed subject matter to be both "new" and "inventive". To quote a key passage of the Written Opinion: "[T]here is no hint in the prior art to provide microchannels through which a fluid flows with thin film thermoelectric cooling (TFTEC) devices."

² Applicant will also note in passing that the Venkatasubramanian reference has nothing to do with microchannels, is rather concerned with cryogenic cooling with a cascaded thermoelectric cooling device, and is therefore not analogous to Messina or Prasher, since Venkatasubramanian is not concerned with cooling integrated circuits.

CONCLUSION

Accordingly, Applicant respectfully requests allowance of the pending claims. If any issues remain, or if the Examiner has any further suggestions for expediting allowance of the present application, the Examiner is kindly invited to contact the undersigned via telephone at (203) 972-3460.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Nathaniel Levin', is written over a horizontal line.

December 13, 2006
Date

Nathaniel Levin
Registration No. 34,860
Buckley, Maschoff & Talwalkar LLC
Attorneys for Intel Corporation
50 Locust Avenue
New Canaan, CT 06840
(203) 972-3460

Appendix A – PCT International Searching Authority

APPENDIX A

From the INTERNATIONAL SEARCHING AUTHORITY

PCT

To:

BUCKLEY, MASCHOFF & TALWALKAR, LLC
Attn. Buckley, Patrick J.
Five Elm Street
New Canaan CT 06840
UNITED STATES OF AMERICA

RECEIVED OCT 1 2 2005

NOTIFICATION OF TRANSMITTAL OF
THE INTERNATIONAL SEARCH REPORT AND
THE WRITTEN OPINION OF THE INTERNATIONAL
SEARCHING AUTHORITY, OR THE DECLARATION

(PCT Rule 44.1)

Applicant's or agent's file reference

P18285PCT

Date of mailing
(day/month/year)

10/10/2005

FOR FURTHER ACTION

See paragraphs 1 and 4 below

International application No.

PCT/US2005/010177

International filing date
(day/month/year)

25/03/2005

Applicant

INTEL CORPORATION

1. ☒ The applicant is hereby notified that the international search report and the written opinion of the International Searching Authority have been established and are transmitted herewith.

Filing of amendments and statement under Article 19:

The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46):

When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.

Where? Directly to the International Bureau of WIPO, 34 chemin des Colombettes
1211 Geneva 20, Switzerland, Facsimile No.: (41-22) 740.14.35

For more detailed instructions, see the notes on the accompanying sheet.

2. ☐ The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect and the written opinion of the International Searching Authority are transmitted herewith.
3. ☐ **With regard to the protest** against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:
- ☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.
- ☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. Reminders

Shortly after the expiration of **18 months** from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.

The applicant may submit comments on an informal basis on the written opinion of the International Searching Authority to the International Bureau. The International Bureau will send a copy of such comments to all designated Offices unless an international preliminary examination report has been or is to be established. These comments would also be made available to the public but not before the expiration of 30 months from the priority date.

Within **19 months** from the priority date, but only in respect of some designated Offices, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until **30 months** from the priority date (in some Offices even later); otherwise, the applicant must, within **20 months** from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices.

In respect of other designated Offices, the time limit of **30 months** (or later) will apply even if no demand is filed within 19 months.

See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the *PCT Applicant's Guide*, Volume II, National Chapters and the WIPO Internet site.

Name and mailing address of the International Searching Authority



European Patent Office, P.B. 5818 Patentlaan 2
NL-2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Katrin Sommermeyer

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference P18285 PCT	FOR FURTHER ACTION see Form PCT/ISA/220 as well as, where applicable, item 5 below.	
International application No. PCT/US2005/010177	International filing date (day/month/year) 25/03/2005	(Earliest) Priority Date (day/month/year) 29/03/2004
Applicant INTEL CORPORATION		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 4 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ The international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. ☐ With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, see Box No. I.

2. ☐ **Certain claims were found unsearchable** (See Box II).

3. ☐ **Unity of invention is lacking** (see Box III).

4. With regard to the title,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the abstract,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box No. IV. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. With regard to the drawings,

- a. the figure of the **drawings** to be published with the abstract is Figure No. 4

☐ as suggested by the applicant.

☒ as selected by this Authority, because the applicant failed to suggest a figure.

☐ as selected by this Authority, because this figure better characterizes the invention.

- b. ☐ none of the figures is to be published with the abstract.

INTERNATIONAL SEARCH REPORT

International Application No
CT/US2005/010177A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H01L23/473 H01L23/38

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	DE 103 13 685 A1 (PRECHTL, PETER) 9 October 2003 (2003-10-09) paragraphs '0166! - '0171!; figures 15-17	1-28
A	US 2002/174660 A1 (VENKATASUBRAMANIAN RAMA) 28 November 2002 (2002-11-28) abstract; figures 1,3,6,7,9	1-28
A	US 5 457 342 A (HERBST, II ET AL) 10 October 1995 (1995-10-10) abstract; figure 4	1-28
A	US 6 424 533 B1 (CHU RICHARD C ET AL) 23 July 2002 (2002-07-23) abstract; figures 2,3	1-28
	----- -/--	

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

° Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- * & * document member of the same patent family

Date of the actual completion of the international search

30 September 2005

Date of mailing of the international search report

10/10/2005

Name and mailing address of the ISA
European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Dauw, X

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US2005/010177

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 894 709 A (PHILLIPS ET AL) 16 January 1990 (1990-01-16) abstract; figures 4,5 -----	1-28
A	WO 02/39241 A (VODAFONE PILOTENTWICKLUNG GMBH; THEISEN, SVEN; P21 GMBH) 16 May 2002 (2002-05-16) abstract; figure 1 -----	1-28

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US2005/010177

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
DE 10313685	A1	09-10-2003	AU 2003222780 A1	08-10-2003
			WO 03080233 A1	02-10-2003
			EP 1506054 A1	16-02-2005
US 2002174660	A1	28-11-2002	NONE	
US 5457342	A	10-10-1995	NONE	
US 6424533	B1	23-07-2002	NONE	
US 4894709	A	16-01-1990	NONE	
WO 0239241	A	16-05-2002	AU 1585102 A	21-05-2002
			DE 10056172 A1	27-06-2002

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

1. [Where originally there were 48 claims and after amendment of some claims there are 51]:
"Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
2. [Where originally there were 15 claims and after amendment of all claims there are 11]:
"Claims 1 to 15 replaced by amended claims 1 to 11."
3. [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
"Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or
"Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
4. [Where various kinds of amendments are made]:
"Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

"Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

It must be in the language in which the international application is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

PATENT COOPERATION TREATY

From the
INTERNATIONAL SEARCHING AUTHORITY

PCT

To:

see form PCT/ISA/220

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43bis.1)

Date of mailing
(day/month/year) see form PCT/ISA/210 (second sheet)

Applicant's or agent's file reference
see form PCT/ISA/220

FOR FURTHER ACTION
See paragraph 2 below

International application No.
PCT/US2005/010177

International filing date (day/month/year)
25.03.2005

Priority date (day/month/year)
29.03.2004

International Patent Classification (IPC) or both national classification and IPC
H01L23/473, H01L23/38

Applicant
INTEL CORPORATION

1. This opinion contains indications relating to the following items:

- ☒ Box No. I Basis of the opinion
- ☐ Box No. II Priority
- ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- ☐ Box No. IV Lack of unity of invention
- ☒ Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- ☐ Box No. VI Certain documents cited
- ☐ Box No. VII Certain defects in the international application
- ☐ Box No. VIII Certain observations on the international application

2. **FURTHER ACTION**

If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA"). However, this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of three months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

3. For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the ISA:



European Patent Office
D-80298 Munich
Tel. +49 89 2399 - 0 Tx: 523656 epmu d
Fax: +49 89 2399 - 4465

Authorized Officer

Dauw, X

Telephone No. +49 89 2399-7674



**WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY**

International application No.
PCT/US2005/010177

Box No. I Basis of the opinion

1. With regard to the **language**, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
 - ☐ This opinion has been established on the basis of a translation from the original language into the following language , which is the language of a translation furnished for the purposes of international search (under Rules 12.3 and 23.1(b)).
2. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:
 - a. type of material:
 - ☐ a sequence listing
 - ☐ table(s) related to the sequence listing
 - b. format of material:
 - ☐ in written format
 - ☐ in computer readable form
 - c. time of filing/furnishing:
 - ☐ contained in the international application as filed.
 - ☐ filed together with the international application in computer readable form.
 - ☐ furnished subsequently to this Authority for the purposes of search.
3. ☐ In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4. Additional comments:

**WRITTEN OPINION OF THE
INTERNATIONAL SEARCHING AUTHORITY**

International application No.
PCT/US2005/010177

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Yes: Claims	1-28
	No: Claims	
Inventive step (IS)	Yes: Claims	1-28
	No: Claims	
Industrial applicability (IA)	Yes: Claims	1-28
	No: Claims	

2. Citations and explanations

see separate sheet

Reference is made to the following documents (D):

D1 DE 103 13 685
D2 US 2002 174 660
D3 US 5 457 342

Box No. V

Given the documents found during a search of the prior art, it seems that the subject matter of the independent claims is new and inventive.

Heat sinks for semiconductor chips, having microchannels through which a coolant flows, defined by a groove and the backside of the chip, are known in the art (for example D1). Providing such a microchannel with a thin film thermoelectric cooling device in the channel, however, is new. Although there are examples where thermoelectric devices are combined with flowing fluids (D3), there is no hint in the prior art to provide microchannels through which a fluid flows with thin film thermoelectric cooling (TFTEC) devices.

The TFTEC devices as such are known as well in the prior art (D2), however, not supplied within a microchannel. There is no hint in the prior art that indicates that one could put the TFTEC devices in a microchannel through which also a coolant is flowing.

As a consequence, at present the subject matter of the independent claims seems to be new and inventive.